

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 2002P15759WOUS
INTERNATIONAL APPLICATION NO. PCT/EP2002/ 12457	INTERNATIONAL FILING DATE November 7, 2002	U.S. APPLICATION NO. (If known, see 37 CFR 5.101) 10/528731 NOT YET ASSIGNED
TITLE OF INVENTION METHOD AND APPARATUS FOR MONITORING A TECHNICAL INSTALLATION, ESPECIALLY FOR CARRYING OUT DIAGNOSIS		PRIORITY DATE CLAIMED September 26, 2002
APPLICANT(S) FOR DO/EO/US Mirko Appel, Wolfgang Fic, Uwe Gerk		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154 (d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 10. <input checked="" type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input checked="" type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825. 18. <input type="checkbox"/> A second copy of the published International Application 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: (a) RETURN RECEIPT POSTCARD; (b) A POWER OF ATTORNEY IS INCLUDED IN DECLARATION; (c) COVER PAGE OF PCT APPLICATION PCT/EP2002/012457; (d) INTERNATIONAL SEARCH REPORT; (e) INTERNATIONAL PRELIMINARY EXAMINATION REPORT.		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Mail Stop Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

JCO6 Rec'd PCT/PTO 22 MAR 2005

PTO-1390 (Rev. 12-2004)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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APPLICATION NO. (if known, see 37 CFR 1.5)

10/528731

INTERNATIONAL APPLICATION NO.

PCT/EP2002/012457

ATTORNEY DOCKET NUMBER

2002P15759WOUS

1. The following fees are submitted:

☒
☒
☒

a) Basic national fee..... \$ 300.00
b) Examination fee..... \$ 200.00
c) Search fee..... \$ 500.00
TOTAL OF ABOVE CALCULATIONS = \$1,000.00

\$ 300.00
\$ 200.00
\$ 500.00
\$ 1,000.00

☐

Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium).
The fee is \$250 for each additional 50 sheets of paper or fraction thereof.

FEE VALUE
ACCOUNTABILITY
DEPOSIT ACCOUNT NO.
19 2179
FEE VALUE
CODE FURNISHED

Total Sheets

Extra sheets

Number of each additional 50 or
fraction thereof (round up to a whole
number)

RATE

- 100 =

/50 =

X \$250.00

Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months
from the earliest claimed priority date (37 CFR 1.492(e)).

\$

CLAIMS

NUMBER FILED

NUMBER EXTRA

RATE

\$

Total Claims

11 - 20 =

x \$ 50.00

\$

Independent claims

2 - 3 =

x \$200.00

\$

MULTIPLE DEPENDENT CLAIMS(S) (if applicable)

+ \$ 360.00

\$

TOTAL OF ABOVE CALCULATIONS =

☐

Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced
by 1/2.

SUBTOTAL =

\$ 1,000.00

Processing fee of \$130.00 for furnishing the English translation later than 30 months
from the earliest claimed priority date (37 CFR 1.492(f)).

\$

TOTAL NATIONAL FEE =

\$

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied
by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

\$

TOTAL FEES ENCLOSED =

\$ 1,000.00

Amount to be
refunded:

\$

Amount to be
charged:

\$

- a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 19-2179 in the amount of \$ 1,000.00 to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 19-2179. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card
information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed
and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:
Siemens Corporation
Intellectual Property Department
170 Wood Avenue South
Iselin, NJ 08830
732-321-3026

John P. Musone

SIGNATURE

JOHN P. MUSONE

March 22, 2005

NAME

DATE

407-736-6449

44,961

Phone

REGISTRATION NUMBER